

Using assurance to overcome barriers to dynamic consent

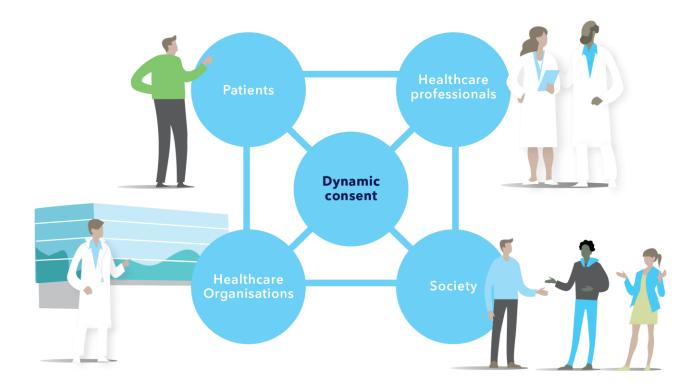
Sharmini Alagaratnam

Healthcare programme, Group Research and Development, DNV

30 November 2021

WHEN TRUST MATTERS

Dynamic consent provides ecosystem value



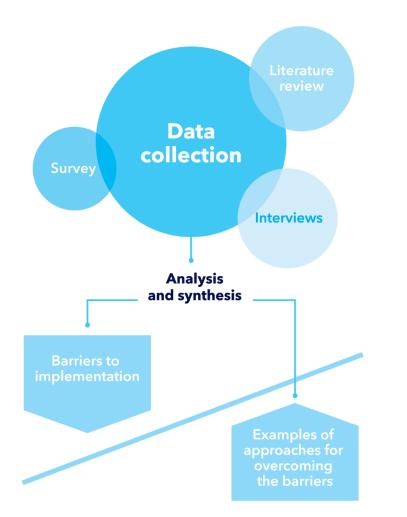
Hallmarks:

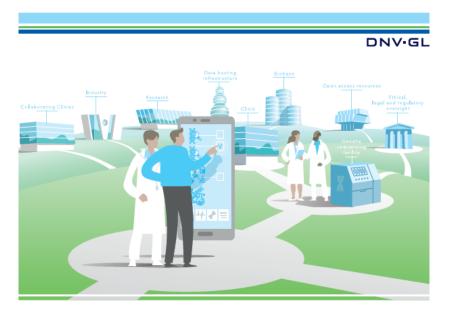
1. Options for consent can be presented and set through sustainable two-way communication between patients, healthcare professionals and researchers

2. Patients have the option to modify their preferences over time if desired and when relevant

3. The preferences set the basis for dynamic downstream clinical and data management actions.

Identifying barriers





GROUP RESEARCH AND DEVELOPMENT, PRECISION MEDICINE, WHITE PAPER 2021

DYNAMIC CONSENT IN CLINICAL GENETICS

Implementation barriers

6 categories of barriers







KNOWLEDGE AND COMPETENCE BARRIERS

- Consent comprehension
- Variable user backgrounds



- Ensuring trust
- Autonomy versus information overload
- Sharing data
- Revoking previously consented data

LEGAL AND REGULATORY BARRIERS

- Regulation
- Use of data
- The GDPR



FINANCIAL BARRIERS

Investment versus gain





CULTURAL AND ORGANISATIONAL BARRIERS

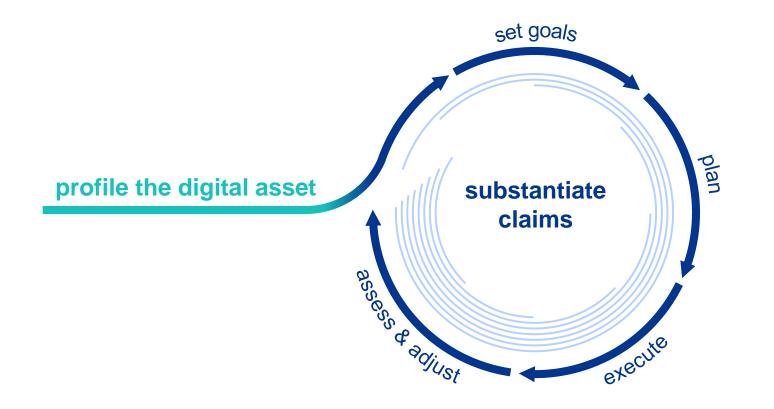
- Stakeholder engagement and collaboration
- Cultural shift

TECHNOLOGICAL BARRIERS

- Security
- Traceability and transparency
- Interoperability



The ADA assurance framework



Iterative

Builds assurance over repeated cycles, starting simple and then evolving as more is learned.

Modular

Assures complex digital assets by breaking them into bite-sized fragments, and then assuring those and their connections.

Continuous

Builds and maintains assurance as assets are developed, deployed, and modified.

Pilot project: ADA on CTRL

- Partners recognize the complex landscape of dynamic consent
- Together pioneering the ADA approach on CTRL to:
 - identify and mitigate known and hidden risks
 - prioritize and capitalize on opportunities



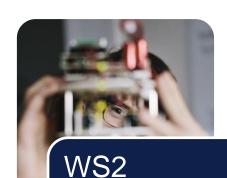
Stage 1

- Documentation review, entity and data flow mapping
- Stakeholder
 interviews



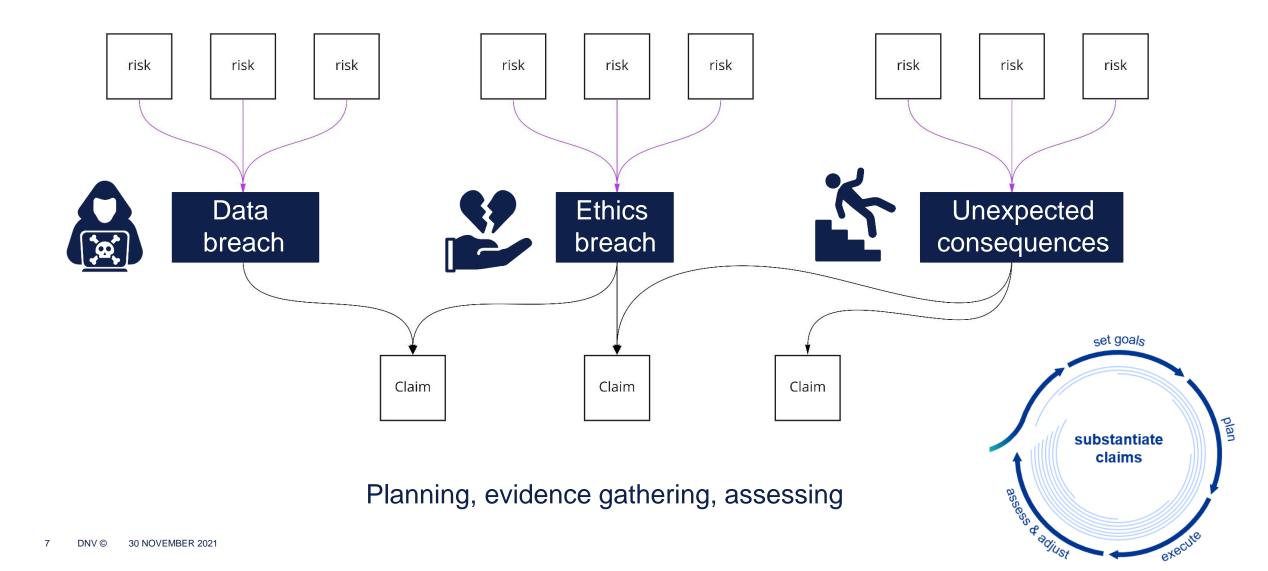






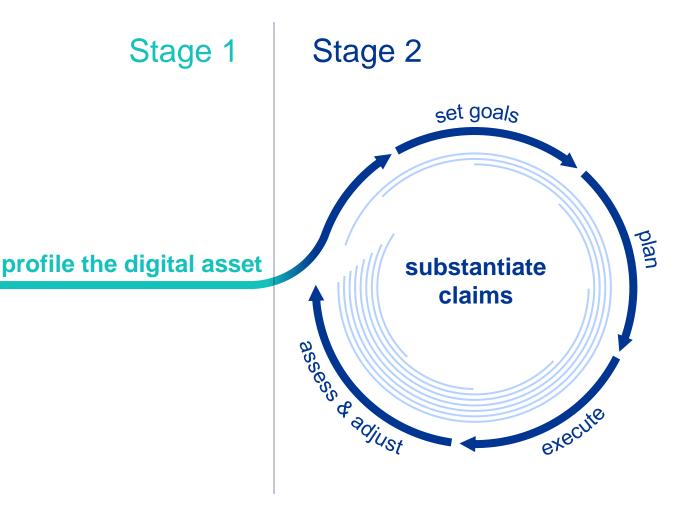
 Evidence assessment and substantiation

Building assurance for dynamic consent



Systems approach to promoting trust and adoption of dynamic consent

- Dynamic consent solutions are implemented in complex ecosystems managed by complex organizations
- The processing of data is governed by explicit and implied security, privacy, ethics and governance requirements
- DNV's Assurance of Digital Assets (ADA) framework is a flexible and extensible framework to provide trust in complex systems



WHEN TRUST MATTERS

Questions? Keen to know more?

Please get in touch!

sharmini.alagaratnam@dnv.com +47 9937 1772

www.dnv.com